

Serial No. 10/761,714

Docket No. 137825-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hua Wang et al.

: Group Art Unit: 1773

Serial No.: 10/761,714

: Examiner:

Filed: January 20, 2004

For WEATHERABLE MULTILAYER ARTICLE  
ASSEMBLIES AND METHOD FOR THEIR  
PREPARATION

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

- ☒ 1 **Pursuant to 37 CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
ARLINGTON, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

|  |       |
|--|-------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below. |       |
| 5-5-04   | _____ |
| Date of Deposit  | _____ |
| monica. Gentile  | _____ |
| Type or Print Name   | _____ |
| Monica Gentile   | _____ |
| Signature  | _____ |

This paper is submitted in accordance with:

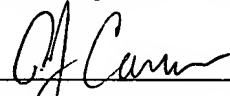
- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

May 3, 2004

Date

General Electric Company  
CRD Patent Docket Rm 4A59  
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Respectfully submitted,

  
\_\_\_\_\_  
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MAY 07 2004

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
**LIST OF ITEMS**

ATTY. DOCKET NO.  
137825-1

SERIAL NO.  
10/761,714

Applicant  
Hua (NMN) Wang et al.

Filing Date  
January 20, 2004

Group  
1773

**U.S. PATENT DOCUMENTS & U.S. PATENT APPLICATION PUBLICATIONS**

| *EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE         | NAME     | CLASS             | SUBCLASS | FILING DATE<br>IF<br>APPROPRIATE |
|----------------------|--------------------|--------------|----------|-------------------|----------|----------------------------------|
|                      | A1                 | 3,030,331    | 4/17/62  | Goldberg          |          |                                  |
|                      | A2                 | 3,169,121    | 2/9/65   | Goldberg          |          |                                  |
|                      | A3                 | 3,207,814    | 9/21/65  | Goldberg          |          |                                  |
|                      | A4                 | 3,444,129    | 5/13/69  | Young, Jr. et al. |          |                                  |
|                      | A5                 | 3,460,961    | 8/12/69  | Young, Jr. et al. |          |                                  |
|                      | A6                 | 3,492,261    | 1/27/70  | Young, Jr. et al. |          |                                  |
|                      | A7                 | 3,503,779    | 3/31/70  | Young, Jr. et al. |          |                                  |
|                      | A8                 | 3,965,057    | 6/22/76  | Ammons et al.     |          |                                  |
|                      | A9                 | 4,156,069    | 5/22/79  | Prevorsek et al.  |          |                                  |
|                      | A10                | 4,194,038    | 3/18/80  | Baker et al.      |          |                                  |
|                      | A11                | 4,217,438    | 8/12/80  | Brunelle et al.   |          |                                  |
|                      | A12                | 4,238,596    | 12/9/80  | Quinn             |          |                                  |
|                      | A13                | 4,238,597    | 12/9/80  | Markezich et al.  |          |                                  |
|                      | A14                | 4,487,896    | 12/11/84 | Mark et al.       |          |                                  |
|                      | A15                | 4,503,121    | 3/5/85   | Robeson et al.    |          |                                  |
|                      | A16                | 4,506,065    | 3/19/85  | Miller et al.     |          |                                  |
|                      | A17                | 4,576,842    | 3/18/86  | Hartsing et al.   |          |                                  |
|                      | A18                | 4,643,937    | 2/17/87  | Dickinson et al.  |          |                                  |
|                      | A19                | 4,931,364    | 6/5/90   | Dickinson         |          |                                  |
|                      | A20                | 4,992,322    | 2/12/91  | Curry et al.      |          |                                  |
|                      | A21                | 5,001,000    | 3/19/91  | Rohrbacher et al. |          |                                  |
|                      | A22                | 5,030,505    | 7/9/91   | Dickinson         |          |                                  |
|                      | A23                | 6,306,507    | 10/23/01 | Brunelle et al.   |          |                                  |
|                      | A24                | 6,538,065    | 3/25/03  | Suriano et al.    |          |                                  |
|                      | A25                | 6,572,956    | 6/3/03   | Pickett et al.    |          |                                  |
|                      | A26                | 6,617,398    | 9/9/03   | Yeager et al.     |          |                                  |
|                      | A27                | 2003/0175488 | 9/18/03  | Asthana et al.    |          |                                  |
|                      | A28                | 2004/0028907 | 2/12/04  | Wang              |          |                                  |

**FOREIGN PATENT DOCUMENTS**

|  | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|--|--------------------|------|---------|-------|----------|-----------------------|
|  | B1                 |      |         |       |          |                       |

**OTHER INFORMATION** (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |   |
|--|----|---|
|  | C1 | U.S. Application Serial No. 10/371,755, filed February 21, 2003 |
|  | C2 | U.S. Application Serial No. 10/461,005, filed June 12, 2003     |
|  | C3 | U.S. Application Serial No. 10/760,826, filed January 16, 2004  |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant